

**AMENDMENT IN THE NATURE OF A SUBSTITUTE
TO H.R. 3222
OFFERED BY MR. GOODLING**

Strike all after the enacting clause and insert the following:

1 **SECTION 1. SHORT TITLE.**

2 This Act may be cited as the “Literacy Involves Fam-
3 ilies Together Act”.

4 **TITLE I—FAMILY LITERACY**

5 **SEC. 101. AUTHORIZATION OF APPROPRIATIONS.**

6 Section 1002(b) of the Elementary and Secondary
7 Education Act of 1965 (20 U.S.C. 6302(b)) is amended—

8 (1) by striking “\$118,000,000 for fiscal year
9 1995” and inserting “\$500,000,000 for fiscal year
10 2001”; and

11 (2) by striking “four” and inserting “three”.

12 **SEC. 102. IMPROVING BASIC PROGRAMS OPERATED BY**
13 **LOCAL EDUCATIONAL AGENCIES.**

14 Section 1111(c) of the Elementary and Secondary
15 Education Act of 1965 (20 U.S.C. 6311(c)) is amended—

16 (1) in paragraph (5), by striking “and” at the
17 end;

18 (2) in paragraph (6), by striking the period at
19 the end and inserting “; and”; and

1 (3) by adding at the end the following:

2 “(7) the State educational agency will encour-
3 age local educational agencies and individual schools
4 participating in a program assisted under this part
5 to offer family literacy services (using funds under
6 this part), if the agency or school determines that a
7 substantial number of students served under this
8 part by the agency or school have parents who do
9 not have a high school diploma or its recognized
10 equivalent or who have low levels of literacy.”.

11 **SEC. 103. EVEN START FAMILY LITERACY PROGRAMS.**

12 (a) STATEMENT OF PURPOSE.—Section 1201 of the
13 Elementary and Secondary Education Act of 1965 (20
14 U.S.C. 6361) is amended—

15 (1) in paragraph (1), by inserting “high qual-
16 ity” after “build on”; and

17 (2) by amending paragraph (2) to read as fol-
18 lows:

19 “(2) promote the academic achievement of chil-
20 dren and adults;”;

21 (3) by striking the period at the end of para-
22 graph (3) and inserting “; and”; and

23 (4) by adding at the end the following:

24 “(4) use instructional programs based on sci-
25 entifically based reading research (as defined in sec-

1 tion 2252) and the prevention of reading difficulties
2 for children and, to the extent such research is avail-
3 able, scientifically based reading research (as so de-
4 fined) for adults.”.

5 (b) PROGRAM AUTHORIZED.—

6 (1) RESERVATION FOR MIGRANT PROGRAMS,
7 OUTLYING AREAS, AND INDIAN TRIBES.—Section
8 1202(a) of the Elementary and Secondary Edu-
9 cation Act of 1965 (20 U.S.C. 6362(a)) is
10 amended—

11 (A) in paragraph (1), by inserting “(or, if
12 such appropriated amount exceeds
13 \$200,000,000, 6 percent of such amount)”
14 after “1002(b)”;

15 (B) in paragraph (2), by striking “If the
16 amount of funds made available under this sub-
17 section exceeds \$4,600,000,” and inserting
18 “After the date of the enactment of the Lit-
19 eracy Involves Families Together Act,”; and

20 (C) by adding at the end the following:

21 “(3) COORDINATION OF PROGRAMS FOR AMER-
22 ICAN INDIANS.—The Secretary shall ensure that
23 programs under paragraph (1)(C) are coordinated
24 with family literacy programs operated by the Bu-
25 reau of Indian Affairs in order to avoid duplication

1 and to encourage the dissemination of information
2 on high quality family literacy programs serving
3 American Indians.”.

4 (2) RESERVATION FOR FEDERAL ACTIVITIES.—
5 Section 1202(b) of the Elementary and Secondary
6 Education Act of 1965 (20 U.S.C. 6362(b)) is
7 amended to read as follows:

8 “(b) RESERVATION FOR FEDERAL ACTIVITIES.—

9 “(1) EVALUATION, TECHNICAL ASSISTANCE,
10 PROGRAM IMPROVEMENT, AND REPLICATION ACTIVI-
11 TIES.—From amounts appropriated under section
12 1002(b), the Secretary may reserve not more than 3
13 percent of such amounts for purposes of—

14 “(A) carrying out the evaluation required
15 by section 1209; and

16 “(B) providing, through grants or con-
17 tracts with eligible organizations, technical as-
18 sistance, program improvement, and replication
19 activities.

20 “(2) RESEARCH.—In the case of fiscal years
21 2001 through 2004, if the amounts appropriated
22 under section 1002(b) for any of such years exceed
23 such amounts appropriated for the preceding fiscal
24 year, the Secretary shall reserve from such excess

1 amount \$2,000,000 or 50 percent, whichever is less,
2 to carry out section 1211(b).”.

3 (c) RESERVATION FOR GRANTS.—Section 1202(c)(1)
4 of the Elementary and Secondary Education Act of 1965
5 (20 U.S.C. 6362(c)(1)) is amended—

6 (1) by striking “From funds reserved under
7 section 2260(b)(3), the Secretary shall award
8 grants,” and inserting “For any fiscal year for
9 which at least one State applies and qualifies and
10 for which the amount appropriated under section
11 1002(b) exceeds the amount appropriated under
12 such section for the preceding fiscal year, the Sec-
13 retary shall reserve, from the amount of such excess
14 remaining after the application of subsection (b)(2),
15 the amount of such remainder or \$1,000,000, which-
16 ever is less, to award grants,”; and

17 (2) by adding at the end “No State may receive
18 more than one grant under this subsection.”.

19 (d) ALLOCATIONS.—Section 1202(d)(2) of the Ele-
20 mentary and Secondary Education Act of 1965 (20 U.S.C.
21 6362(d)(2)) is amended by striking “that section” and in-
22 serting “that part”.

23 (e) DEFINITIONS.—Section 1202(e) of the Elemen-
24 tary and Secondary Education Act of 1965 (20 U.S.C.
25 6362(e)) is amended—

1 (1) in paragraph (1)(B), by striking “or” after
2 “higher education,” and inserting “a religious orga-
3 nization, or”;

4 (2) in paragraph (2), by striking “nonprofit or-
5 ganization” and inserting “nonprofit organization,
6 including a religious organization,”.

7 (f) SUBGRANTS FOR LOCAL PROGRAMS.—Section
8 1203(b)(2) of the Elementary and Secondary Education
9 Act of 1965 (20 U.S.C. 6363(b)(2)) is amended to read
10 as follows:

11 “(2) MINIMUM SUBGRANT AMOUNTS.—

12 “(A) IN GENERAL.—Except as provided in
13 subparagraphs (B) and (C), no State shall
14 award a subgrant under paragraph (1) in an
15 amount less than \$75,000.

16 “(B) SUBGRANTEES IN NINTH AND SUC-
17 CEEDING YEARS.—No State shall award a
18 subgrant under paragraph (1) in an amount
19 less than \$52,500 to an eligible entity for a fis-
20 cal year to carry out an Even Start program
21 that is receiving assistance under this part or
22 its predecessor authority for the ninth (or any
23 subsequent) fiscal year.

24 “(C) EXCEPTION FOR SINGLE
25 SUBGRANT.—A State may award one subgrant

1 in each fiscal year of sufficient size, scope, and
2 quality to be effective in an amount less than
3 \$75,000 if, after awarding subgrants under
4 paragraph (1) for such fiscal year in accordance
5 with subparagraphs (A) and (B), less than
6 \$75,000 is available to the State to award such
7 subgrants.”.

8 (g) USES OF FUNDS.—Section 1204 of the Elemen-
9 tary and Secondary Education Act of 1965 (20 U.S.C.
10 6364) is amended—

11 (1) in subsection (a), by striking “family-cen-
12 tered education programs” and inserting “family lit-
13 eracy services”; and

14 (2) by adding at the end the following:

15 “(c) USE OF FUNDS FOR FAMILY LITERACY SERV-
16 ICES.—

17 “(1) IN GENERAL.—States may use a portion of
18 funds received under this part to assist eligible enti-
19 ties receiving a subgrant under section 1203(b) in
20 improving the quality of family literacy services pro-
21 vided under Even Start programs under this part,
22 except that in no case may a State’s use of funds
23 for this purpose for a fiscal year result in a decrease
24 from the level of activities and services provided to
25 program participants in the preceding year.

1 “(2) PRIORITY.—In carrying out paragraph (1),
2 a State shall give priority to programs that were of
3 low quality, as evaluated based on the indicators of
4 program quality developed by the State under sec-
5 tion 1210.

6 “(3) TECHNICAL ASSISTANCE TO HELP LOCAL
7 PROGRAMS RAISE ADDITIONAL FUNDS.—In carrying
8 out paragraph (1), a State may use the funds re-
9 ferred to in such paragraph to provide technical as-
10 sistance to help local programs of demonstrated ef-
11 fectiveness to access and leverage additional funds
12 for the purpose of expanding services and reducing
13 waiting lists.

14 “(4) TECHNICAL ASSISTANCE AND TRAINING.—
15 Assistance under paragraph (1) shall be in the form
16 of technical assistance and training, provided by a
17 State through a grant, contract, or cooperative
18 agreement with an entity that has experience in of-
19 fering high quality training and technical assistance
20 to family literacy providers.”.

21 (h) PROGRAM ELEMENTS.—Section 1205 of the Ele-
22 mentary and Secondary Education Act of 1965 (20 U.S.C.
23 6365) is amended—

24 (1) by redesignating paragraphs (9) and (10)
25 as paragraphs (12) and (13), respectively;

1 (2) by redesignating paragraphs (5) through
2 (8) as paragraphs (6) through (9), respectively;

3 (3) by inserting after paragraph (4) the fol-
4 lowing:

5 “(5) with respect to the qualifications of staff
6 the cost of whose salaries are paid, in whole or in
7 part, with Federal funds provided under this part,
8 ensure that—

9 “(A) not later than 4 years after the date
10 of the enactment of the Literacy Involves Fami-
11 lies Together Act—

12 “(i) a majority of academic instruc-
13 tion is provided by individuals who—

14 “(I) have obtained an associate’s,
15 bachelor’s, or graduate degree in a
16 field related to early childhood edu-
17 cation, elementary school education,
18 or adult education; or

19 “(II) meet qualifications estab-
20 lished by the State for early childhood
21 education, elementary school edu-
22 cation, or adult education provided as
23 part of an Even Start program or an-
24 other family literacy program;

1 “(ii) the individual responsible for ad-
2 ministration of family literacy services
3 under this part has received training in the
4 operation of a family literacy program; and

5 “(iii) paraprofessionals who provide
6 support for academic instruction have a
7 high school diploma or its recognized
8 equivalent; and

9 “(B) beginning on the date of the enact-
10 ment of the Literacy Involves Families To-
11 gether Act, all new personnel hired to provide
12 academic instruction—

13 “(i) have obtained an associate’s,
14 bachelor’s, or graduate degree in a field re-
15 lated to early childhood education, elemen-
16 tary school education, or adult education;
17 or

18 “(ii) meet qualifications established by
19 the State for early childhood education, el-
20 ementary school education, or adult edu-
21 cation provided as part of an Even Start
22 program or another family literacy pro-
23 gram;”;

24 (4) by inserting after paragraph (9) (as so re-
25 designated) the following:

1 “(10) use instructional programs based on sci-
2 entifically based reading research (as defined in sec-
3 tion 2252) for children and, to the extent such re-
4 search is available, for adults;

5 “(11) encourage participating families to attend
6 regularly and to remain in the program a sufficient
7 time to meet their program goals;”; and

8 (5) in paragraph (13) (as so redesignated), by
9 striking “program.” and inserting “program to be
10 used for program improvement.”.

11 (i) ELIGIBLE PARTICIPANTS.—Section 1206 of the
12 Elementary and Secondary Education Act of 1965 (20
13 U.S.C. 6366) is amended—

14 (1) in subsection (a)(1)(B) by striking “part;”
15 and inserting “part, or who are attending secondary
16 school;”; and

17 (2) in subsection (b), by adding at the end the
18 following:

19 “(3) CHILDREN 8 YEARS OF AGE OR OLDER.—
20 If an Even Start program assisted under this part
21 collaborates with a program under part A, and funds
22 received under such part A program contribute to
23 paying the cost of providing programs under this
24 part to children 8 years of age or older, the Even
25 Start program, notwithstanding subsection (a)(2),

1 may permit the participation of children 8 years of
2 age or older.”.

3 (j) PLAN.—Section 1207(c) of the Elementary and
4 Secondary Education Act of 1965 (20 U.S.C. 6367(c)) is
5 amended—

6 (1) in paragraph (1)—

7 (A) in the matter preceding subparagraph
8 (A), by inserting “and continuous improve-
9 ment” after “plan of operation”;

10 (B) in subparagraph (A), by striking
11 “goals;” and inserting “objectives, strategies to
12 meet such objectives, and how they are con-
13 sistent with the program indicators established
14 by the State;”;

15 (C) in subparagraph (E), by striking
16 “and” at the end;

17 (D) in subparagraph (F)—

18 (i) by striking “Act, the Goals 2000:
19 Educate America Act,” and inserting
20 “Act”; and

21 (ii) by striking the period at the end
22 and inserting “; and”; and

23 (E) by adding at the end the following:

24 “(G) a description of how the plan pro-
25 vides for rigorous and objective evaluation of

1 progress toward the program objectives de-
2 scribed in subparagraph (A) and for continuing
3 use of evaluation data for program improve-
4 ment.”; and

5 (2) in paragraph (2), in the matter preceding
6 subparagraph (A), by striking “(1)(A)” and insert-
7 ing “(1)”.

8 (k) AWARD OF SUBGRANTS.—Section 1208 of the El-
9 ementary and Secondary Education Act of 1965 (20
10 U.S.C. 6368) is amended—

11 (1) in subsection (a)—

12 (A) in paragraph (1)(F), by striking “Fed-
13 eral” and inserting “non-Federal”; and

14 (B) in paragraph (1)(H), by inserting
15 “family literacy projects and other” before
16 “local educational agencies”; and

17 (C) in paragraph (3), by striking “one or
18 more of the following individuals:” and insert-
19 ing “one individual with expertise in family lit-
20 eracy programs, and may include other individ-
21 uals, such as one or more of the following:”;
22 and

23 (2) in subsection (b)—

24 (A) by striking paragraph (3) and insert-
25 ing the following:

1 “(3) CONTINUING ELIGIBILITY.—In awarding
2 subgrant funds to continue a program under this
3 part after the first year, the State educational agen-
4 cy shall review the progress of each eligible entity in
5 meeting the objectives of the program referred to in
6 section 1207(c)(1)(A) and shall evaluate the pro-
7 gram based on the indicators of program quality de-
8 veloped by the State under section 1210.”; and

9 (B) by amending paragraph (5)(B) to read
10 as follows:

11 “(B) The Federal share of any subgrant re-
12 newed under subparagraph (A) shall be limited in
13 accordance with section 1204(b).”.

14 (I) RESEARCH.—Section 1211 of the Elementary and
15 Secondary Education Act of 1965 (20 U.S.C. 6369b) is
16 amended—

17 (1) in subsection (b), by striking “subsection
18 (a)” and inserting “subsections (a) and (b)”;

19 (2) by redesignating subsection (b) as sub-
20 section (c); and

21 (3) by inserting after subsection (a) the fol-
22 lowing:

23 “(b) SCIENTIFICALLY BASED RESEARCH ON FAMILY
24 LITERACY.—

1 “(1) IN GENERAL.—From amounts reserved
2 under section 1202(b)(2), the National Institute for
3 Literacy shall carry out research that—

4 “(A) is scientifically based reading re-
5 search (as defined in section 2252); and

6 “(B) determines—

7 “(i) the most effective ways of improv-
8 ing the literacy skills of adults with read-
9 ing difficulties; and

10 “(ii) how family literacy services can
11 best provide parents with the knowledge
12 and skills they need to support their chil-
13 dren’s literacy development.

14 “(2) USE OF EXPERT ENTITY.—The National
15 Institute for Literacy shall carry out the research
16 under paragraph (1) through an entity, including a
17 Federal agency, that has expertise in carrying out
18 longitudinal studies of the development of literacy
19 skills in children and has developed effective inter-
20 ventions to help children with reading difficulties.”.

21 **SEC. 104. EDUCATION OF MIGRATORY CHILDREN.**

22 Section 1304(b) of the Elementary and Secondary
23 Education Act of 1965 (20 U.S.C. 6394(b)) is amended—

24 (1) in paragraph (5), by striking “and” at the
25 end;

1 (2) in paragraph (6), by striking the period at
2 the end and inserting “; and”; and

3 (3) by adding at the end the following:

4 “(7) a description of how the State will encour-
5 age programs and projects assisted under this part
6 to offer family literacy services if the program or
7 project serves a substantial number of migratory
8 children who have parents who do not have a high
9 school diploma or its recognized equivalent or who
10 have low levels of literacy.”.

11 **SEC. 105. DEFINITIONS.**

12 (a) IN GENERAL.—Section 14101 of the Elementary
13 and Secondary Education Act of 1965 (20 U.S.C. 8801)
14 is amended—

15 (1) by redesignating paragraphs (15) through
16 (29) as paragraphs (16) through (30), respectively;
17 and

18 (2) by inserting after paragraph (14) the fol-
19 lowing:

20 “(15) FAMILY LITERACY SERVICES.—The term
21 ‘family literacy services’ means services provided to
22 participants on a voluntary basis that are of suffi-
23 cient intensity in terms of hours, and of sufficient
24 duration, to make sustainable changes in a family,
25 and that integrate all of the following activities:

1 “(A) Interactive literacy activities between
2 parents and their children.

3 “(B) Training for parents regarding how
4 to be the primary teacher for their children and
5 full partners in the education of their children.

6 “(C) Parent literacy training that leads to
7 economic self-sufficiency.

8 “(D) An age-appropriate education to pre-
9 pare children for success in school and life ex-
10 periences.”.

11 (b) CONFORMING AMENDMENTS.—

12 (1) EVEN START FAMILY LITERACY PRO-
13 GRAMS.—Section 1202(e) of the Elementary and
14 Secondary Education Act of 1965 (6362(e)) is
15 amended—

16 (A) by striking paragraph (3); and

17 (B) by redesignating paragraphs (4) and
18 (5) as paragraphs (3) and (4), respectively.

19 (2) READING AND LITERACY GRANTS.—Section
20 2252 of the Elementary and Secondary Education
21 Act of 1965 (20 U.S.C. 6661a) is amended—

22 (A) by striking paragraph (2); and

23 (B) by redesignating paragraphs (3)
24 through (5) as paragraphs (2) through (4), re-
25 spectively.

1 **SEC. 106. INDIAN EDUCATION.**

2 (a) EARLY CHILDHOOD DEVELOPMENT PROGRAM.—

3 Section 1143 of the Education Amendments of 1978 (25
4 U.S.C. 2023) is amended—

5 (1) in subsection (b)(1)—

6 (A) by striking “(f)” and inserting “(g)”;

7 and

8 (B) by striking “(e)” and inserting “(f)”;

9 (2) in subsection (d)(1)—

10 (A) by redesignating subparagraphs (D)

11 and (E) as subparagraphs (E) and (F), respec-

12 tively; and

13 (B) by inserting after subparagraph (C)

14 the following:

15 “(D) family literacy services,”;

16 (3) in subsection (e), by striking “(f),” and in-

17 serting “(g),”;

18 (4) by redesignating subsections (e) and (f) as

19 subsections (f) and (g), respectively; and

20 (5) by inserting after subsection (d) the fol-

21 lowing:

22 “(e) Family literacy programs operated under this

23 section, and other family literacy programs operated by

24 the Bureau of Indian Affairs, shall be coordinated with

25 family literacy programs for American Indian children

26 under part B of title I of the Elementary and Secondary

1 Education Act of 1965 in order to avoid duplication and
2 to encourage the dissemination of information on quality
3 family literacy programs serving American Indians.”.

4 (b) DEFINITIONS.—Section 1146 of the Education
5 Amendments of 1978 (25 U.S.C. 2026) is amended—

6 (1) by redesignating paragraphs (7) through
7 (14) as paragraphs (8) through (15), respectively;
8 and

9 (2) by inserting after paragraph (6) the fol-
10 lowing:

11 “(7) the term ‘family literacy services’ has the
12 meaning given such term in section 14101 of the El-
13 ementary and Secondary Education Act of 1965 (20
14 U.S.C. 8801);”

15 **TITLE II—INEXPENSIVE BOOK** 16 **DISTRIBUTION PROGRAM**

17 **SEC. 201. INEXPENSIVE BOOK DISTRIBUTION PROGRAM**
18 **FOR READING MOTIVATION.**

19 (a) AUTHORIZATION.—Section 10501(a) of the Ele-
20 mentary and Secondary Education Act of 1965 (20 U.S.C.
21 8131(a)) is amended by striking “books to students, that
22 motivate children to read.” and inserting “books to young
23 and school-aged children that motivate them to read.”.

24 (b) REQUIREMENTS OF CONTRACT.—Section
25 10501(b)(4) of the Elementary and Secondary Education

1 Act of 1965 (20 U.S.C. 8131(c)) is amended by inserting
2 “training and” before “technical assistance”.

3 (c) AUTHORIZATION OF APPROPRIATIONS.—Section
4 10501(e) of the Elementary and Secondary Education Act
5 of 1965 (20 U.S.C. 8131(e)) is amended by striking
6 “\$10,300,000 for fiscal year 1995” and inserting
7 “\$20,000,000 for fiscal year 2000”.

8 (d) STATEMENT OF PURPOSE.—Section 10501 of the
9 Elementary and Secondary Education Act of 1965 (20
10 U.S.C. 8131) is amended—

11 (1) by redesignating subsections (d) and (e) as
12 subsections (g) and (h), respectively;

13 (2) by redesignating subsections (a) through (c)
14 as subsections (b) through (d), respectively; and

15 (3) by inserting after the section heading the
16 following:

17 “(a) PURPOSE.—The purpose of this program is to
18 establish and implement a model partnership between a
19 governmental entity and a private entity, to help prepare
20 young children for reading, and motivate older children
21 to read, through the distribution of inexpensive books.
22 Local reading motivation programs assisted under this
23 section shall use such assistance to provide books, training
24 for volunteers, motivational activities, and other essential
25 literacy resources, and shall assign the highest priority to

1 serving the youngest and neediest children in the United
2 States.”.

3 (e) NEW PROVISIONS.—Section 10501 of the Ele-
4 mentary and Secondary Education Act of 1965 (20 U.S.C.
5 8131) is amended by inserting before subsection (g) (as
6 so redesignated by subsection (d)) the following:

7 “(e) SPECIAL RULES FOR CERTAIN SUBCONTRAC-
8 TORS.—

9 “(1) FUNDS FROM OTHER FEDERAL
10 SOURCES.—Subcontractors operating programs
11 under this section in low-income communities with a
12 substantial number or percentage of children with
13 special needs, as described in subsection (c)(3), may
14 use funds from other Federal sources to pay the
15 non-Federal share of the cost of the program, if
16 those funds do not comprise more than 50 percent
17 of the non-Federal share of the funds used for the
18 cost of acquiring and distributing books.

19 “(2) WAIVER AUTHORITY.—Notwithstanding
20 subsection (c), the contractor may waive, in whole or
21 in part, the requirement in subsection (c)(1) for a
22 subcontractor, if the subcontractor demonstrates
23 that it would otherwise not be able to participate in
24 the program, and enters into an agreement with the
25 contractor with respect to the amount of the non-

1 Federal share to which the waiver will apply. In a
2 case in which such a waiver is granted, the require-
3 ment in subsection (c)(2) shall not apply.

4 “(f) MULTI-YEAR CONTRACTS.—The contractor may
5 enter into a multi-year subcontract under this section, if—

6 “(1) the contractor believes that such sub-
7 contract will provide the subcontractor with addi-
8 tional leverage in seeking local commitments; and

9 “(2) the subcontract does not undermine the fi-
10 nances of the national program.”.

11 **SEC. 202. EFFECTIVE DATE.**

12 The amendments made by section 201 shall take ef-
13 fect on October 1, 2000.

Amend the title so as to read: A bill to amend the Elementary and Secondary Education Act of 1965 to improve literacy through family literacy projects and to reauthorize the inexpensive book distribution program.